

JPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of : Customer Number: 20277
Mitsuaki NISHIE, et al. : Confirmation Number: 4674
Application No.: 10/823,790 : Group Art Unit: 2874
Filed: April 14, 2004 : Examiner: Not yet assigned

For: AN OPTICAL MODULE, AN OPTICAL COMMUNICATION APPARATUS AND A
OPTICAL TRANSCEIVER MODULE

REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop OFR
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the assignee information was omitted. Attached is a copy of the Assignment document, which evidences that the assignee information should read: **SUMITOMO ELECTRIC INDUSTRIES, LTD.** It is requested that a corrected filing receipt be issued.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Brian K. Seidleck

Brian K. Seidleck
Registration No. 51,321

600 13th Street, N.W.
Washington, DC 20005-3096
Phone: 202.756.8000 BKS:aph
Facsimile: 202.756.8087
Date: February 8, 2005

**Please recognize our Customer No. 20277
as our correspondence address.**



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/823,790	04/14/2004	2874	900	50395-268	12	14	2

20277
MCDERMOTT WILL & EMERY LLP
600 13TH STREET, N.W.
WASHINGTON, DC 20005-3096



CONFIRMATION NO. 4674
UPDATED FILING RECEIPT



OC000000014019063

Date Mailed: 10/06/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

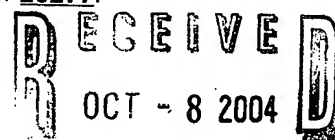
Mitsuaki Nishie, Yokohama-shi, JAPAN;
Yoshiki Kuhara, Osaka, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 20277.

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2003-109176 04/14/2003



MW&E

If Required, Foreign Filing License Granted: 06/23/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,
US10/823,790

Projected Publication Date: 01/13/2005

Non-Publication Request: No

Early Publication Request: No

Title

Optical module, an optical communication apparatus and a optical transceiver module

Preliminary Class

398

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Docket No.: 50395-268

ASSIGNMENT

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

(1) <u>Mitsuaki NISHIE</u>	(5) _____
(2) <u>Yoshiki KUHARA</u>	(6) _____
(3) _____	(7) _____
(4) _____	

who have made a certain new and useful invention, hereby sell, assign and transfer unto

Sumitomo Electric Industries, Ltd. of 5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi, Osaka, 541-0041 Japan

its successors and assigns (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled

AN OPTICAL MODULE, AN OPTICAL COMMUNICATION APPARATUS AND A OPTICAL TRANSCEIVER MODULE

(a) for which an application for United States Letters Patent was filed on April 14, 2004, and identified by United States Serial No. 10/823,790; or

(b) for which an application for United States Letters Patent was executed on _____,

and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in an to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

SIGNED on the dated indicated aside our signatures:

INVENTORS

DATE SIGNED

1) <u>Mitsuaki Nishie</u>	
Name: Mitsuaki NISHIE	
2) <u>Yoshiki KUHARA</u>	
Name: Yoshiki KUHARA	
3) _____	
Name: _____	
4) _____	
Name: _____	
5) _____	
Name: _____	
6) _____	
Name: _____	
7) _____	
Name: _____	

<u>Sept. 3, 2004</u>
<u>Sept. 1, 2004</u>



Docket No.: 50395-268

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of

Mitsuaki NISHIE, et al.

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LETTER

Mail Stop OIPE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Upon reviewing the file, it was noted that our request for a corrected filing receipt of
January 18, 2005 was filed in error. Please disregard the request.

Attached is a new request for a corrected filing receipt with the correct serial number.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Brian K. Seidleck
Registration No. 51,321

600 13th Street, N.W.
Washington, DC 20005-3096
Phone: 202.756.8000 BKS:aph
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